

REVA CAPITAL MARKETS, LLC

OTHER DISCLOSURES

Securities Investor Protection Corp. Insurance Coverage

Reva Capital Markets, LLC is a member of SIPC, which protects securities of customers of its members up to \$500,000 (including \$100,000 for claims of cash) per client as defined by SIPC rules.

SIPC provides protection in the event of a member firm failure and does not insure against market decline. Please visit the website at www.sipc.org for additional information.

Potential Registration Requirements

Persons providing investment advice for others or managing securities accounts for others may need to register as either an “Investment Advisor” under the Investment Advisors Act of 1940 or as a “Broker” or “Dealer” under the Securities Exchange Act of 1934. Such activities may also trigger state registration requirements.

Legal and Tax Advice

Reva Capital Markets, LLC does not provide legal or tax advice. Please consult your legal advisor, accountant or tax expert as you deem necessary.

Contact Information Change

If you fail to notify Reva Capital Markets, LLC in writing of change of address, telephone and fax number(s), and e-mail addresses, you may not receive important information about your account, and trading or other restrictions may be placed on the account.

Fees and Charges

Reva Capital Markets, LLC may charge and collect commissions and other fees for executions, and any other services to you and you agree to pay such commissions

and fees at prevailing rates. You understand further that such fees may be charged from time to time, including an annual service and/or inactivity fee disclosed with your account statement, and you agree to be bound thereby.

E-Mail Disclosure

Reva Capital Markets, LLC archives and reviews outgoing and incoming emails. They may be produced at the request of regulators or in connection with civil litigation.

Complaints and Compliance

Any inaccuracy or discrepancy in a client account or transaction should be reported immediately to a Designated Supervisor, the Chief Compliance Officer or the Chief Executive Officer.

Complaints may be directed to the Chief Compliance Officer at Reva Capital Markets, LLC, 3 Columbus Circle – 15th floor, New York, New York 10019. The main telephone number is 212.464.7363.

FINRA Website - BrokerCheck

FINRA BrokerCheck, formally known as the FINRA's Public Disclosure Program, allows investors to learn about the professional background, business practices, and conduct of FINRA member firms or their brokers. Visit the website at Brokercheck.finra.org or call 1.800.289.9999 for additional information. A FINRA investor brochure is also available upon request.